

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF NEW YORK

CATHERINE ROMERO C.,

Plaintiff,
v.

Civil Action No.
5:23-cv-750 (DEP)

COMMISSIONER OF
SOCIAL SECURITY,

Defendant.

APPEARANCES:

FOR PLAINTIFF

OFFICE OF OSTERHOURT
BERGER DISABILITY LAW
521 Cedar Way, Suite 200
Oakmont, PA 15139

FOR DEFENDANT

SOCIAL SECURITY ADMIN.
OFFICE OF GENERAL COUNSEL
6401 Security Boulevard
Baltimore, MD 21235

DAVID E. PEEBLES
U.S. MAGISTRATE JUDGE

OF COUNSEL:

HANNALORE B. MERRITT, ESQ.
and ERIN DUFFY, ESQ.

HEETANO SHAMSOONDAR, ESQ.
and JASON P. PECK, ESQ.

ORDER

Currently pending before the court in this action, in which plaintiff
seeks judicial review of an adverse administrative determination by the

Commissioner of Social Security (“Commissioner”), pursuant to 42 U.S.C. § 405(g), are cross-motions for judgment on the pleadings.¹ Oral argument was heard in connection with those motions on September 5, 2024, during a telephone conference conducted on the record. At the close of argument, I issued a bench decision in which, after applying the requisite deferential review standard, I found that the Commissioner’s determination resulted from the application of proper legal principles and is supported by substantial evidence, providing further detail regarding my reasoning and addressing the specific issues raised by the plaintiff in this appeal.

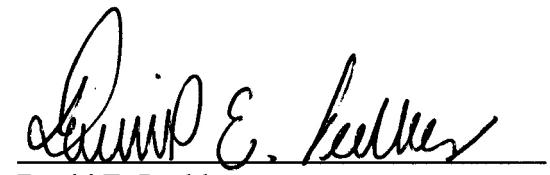
After due deliberation, and based upon the court’s oral bench decision, which has been transcribed, is attached to this order, and is incorporated herein by reference, it is hereby

ORDERED, as follows:

1) Defendant’s motion for judgment on the pleadings is
GRANTED.

¹ This matter, which is before me on consent of the parties pursuant to 28 U.S.C. § 636(c), has been treated in accordance with the procedures set forth in General Order No. 18. Under that General Order, once issue has been joined, an action such as this is considered procedurally as if cross-motions for judgment on the pleadings had been filed pursuant to Rule 12(c) of the Federal Rules of Civil Procedure.

- 2) The Commissioner's determination that the plaintiff was not disabled at the relevant times, and thus is not entitled to benefits under the Social Security Act, is AFFIRMED.
- 3) The clerk is respectfully directed to enter judgment, based upon this determination, DISMISSING plaintiff's complaint in its entirety.



David E. Peebles
David E. Peebles
U.S. Magistrate Judge

Dated: September 23, 2024
Syracuse, NY

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

-----x
CATHERINE R.C.,

Plaintiff,

-v-

5:23-CV-750

COMMISSIONER OF SOCIAL SECURITY,

Defendant.

-----x
DECISION TRANSCRIPT

BEFORE THE HONORABLE DAVID E. PEEBLES

September 5, 2024

100 South Clinton Street, Syracuse, NY 13261

For the Plaintiff:

OSTERHOUT DISIBILITY LAW
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Oakmont, Pennsylvania 15139
BY: **HANALORE B. MERRITT, ESQ.**
ERIN A. DUFFY, ESQ.

For the Defendant:

SOCIAL SECURITY ADMINISTRATION
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BY: **JASON PECK, ESQ.**

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100 South Clinton Street
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1 (The Court and all parties present by telephone.

2 Time noted: 2:06 p.m.)

3 THE COURT: Let me begin by thanking both of you for
4 excellent presentations. I've enjoyed working with you.

5 Before we address the merits of the matter, I wanted
6 to broach the question of consent. When this case was filed, it
7 was assigned to one of my colleague magistrate judges, Christian
8 F. Hummel, and the consent form that was signed by the plaintiff
9 or plaintiff's counsel, actually, at Docket No. 5 consents to
10 Magistrate Judge Hummel's jurisdiction. When the matter was
11 transferred to me, there was a notation on the docket that any
12 request to withdraw consent should be filed within seven days.
13 There was none filed, but I wanted to confirm with plaintiff's
14 counsel: Do you consent to my deciding this case as opposed to
15 issuing a report and recommendation to a district judge?

16 MS. DUFFY: Yes, your Honor, plaintiff consents.

17 THE COURT: Very good. Thank you.

18 Plaintiff has commenced this proceeding pursuant to
19 42, United States Code, Section 405(g) to challenge an adverse
20 determination by the Commissioner of Social Security finding
21 that she was not eligible for the benefits for which she
22 applied.

23 The background is as follows: Plaintiff was born in
24 September of 1966 and is currently 58 years of age. She was
25 53 years old at the alleged onset of disability on August 15,

1 2019. Plaintiff lives in Syracuse with a grandson. She's 5'6"
2 in height and weighs 230 pounds. She apparently, although
3 there's some equivocation, received a GED or a high school
4 diploma. At 458, it suggests that it was a GED. While in
5 school, she was in regular classes. She also attended two years
6 of college. Plaintiff is left hand dominant.

7 Plaintiff stopped working in August of 2019. She
8 held various positions while working. She was a customer
9 representative, an appointment clerk, and worked for a medical
10 answering service. She was a janitor. She was a waitress. She
11 was a retail sales clerk and a room service attendant. She is
12 currently working part-time 4 to 15 hours per week preparing
13 individuals to take examinations. That appears at page 36 of
14 the Administrative Transcript.

15 Physically, plaintiff suffers from several
16 impairments, including psoriasis; psoriatic arthritis; joint
17 pain in the shoulder, neck, and back; bilateral knee pain;
18 obesity; hand pain; hypertension, which is controlled by
19 medications; and hyperlipidemia.

20 Mentally, plaintiff suffers from depression and
21 anxiety, however, she has not undergone any psychiatric
22 hospitalization or outpatient treatment. Her mental condition
23 is addressed through medications prescribed by her primary
24 provider.

25 In terms of activities of daily living -- and these

1 come from 460 and 465 of the Administrative Transcript and other
2 locations, as well -- plaintiff can cook, clean, take care of
3 her grooming. She does laundry. She shops. She can manage
4 money. She drives. She watches television and listens to the
5 radio. She reads and she socializes with friends. Plaintiff is
6 a former smoker.

7 Procedurally, plaintiff applied for Title II benefits
8 on January 27, 2021, alleging an onset date of August 15, 2019.
9 At page 272, she claimed disability based on arthritis; high
10 cholesterol; degenerative joint decrease; anxiety; high blood
11 pressure; Type II diabetes; unable to sit, stand, or walk long;
12 constant joint pain; fatigue; and weakness.

13 A hearing was conducted on August 19, 2022, by
14 Administrative Law Judge Jeremy Eldred, at which a vocational
15 expert, as well as the plaintiff, testified. ALJ Eldred issued
16 an unfavorable decision on September 12, 2022. That became a
17 final determination of the agency on April 25, 2023, when the
18 Social Security Administration Appeals Council denied
19 plaintiff's application for review. This action was commenced
20 on June 20, 2023, and is timely.

21 In his decision, Administrative Law Judge Eldred
22 applied the familiar five-step sequential test for determining
23 disability. He first noted that plaintiff's last insured status
24 will occur on December 31, 2025. At step one, ALJ Eldred
25 concluded that plaintiff has not engaged in substantial gainful

1 activity since August 15, 2019, but did acknowledge plaintiff's
2 limited work since the filing of her application.

3 At step two, ALJ Eldred concluded that plaintiff does
4 suffer from severe impairments that impose more than minimal
5 limitations on her ability to perform work functions, including
6 psoriatic arthritis, psoriasis, osteoarthritis of the left knee,
7 degenerative changes of the right knee, and obesity.

8 At step three, the ALJ concluded that plaintiff's
9 conditions do not meet or medically equal any of the listed
10 presumptively disabling conditions set forth in the
11 Commissioner's regulations. The Administrative Law Judge next
12 surveyed the entire record and concluded that plaintiff is
13 capable of performing light work, notwithstanding her
14 impairments, except she can stoop or crouch no more than
15 frequently and can climb, kneel, or crawl no more than
16 occasionally.

17 Applying that RFC, or residual functional capacity,
18 at step four, the Administrative Law Judge concluded that
19 plaintiff is capable of performing her past relevant work as an
20 appointment clerk based on the testimony of a vocational expert.
21 The ALJ therefore concluded that plaintiff was not disabled at
22 the relevant times.

23 As we have discussed, and as the parties know, my
24 role is limited to determining whether correct legal principles
25 were applied and the resulting determination is supported by

1 substantial evidence. It is an extremely deferential standard.
2 It is more limiting than the clearly erroneous standard, as the
3 Second Circuit noted in *Brault v. Social Security Administration*
4 *Commissioner*, 683 F.3d 443, Second Circuit, 2012. As the Second
5 Circuit noted, this standard means that once an ALJ finds a
6 fact, that fact can be rejected by the Court only if a
7 reasonable factfinder would have to conclude otherwise. The
8 standard was more recently reiterated in *Schillo v. Kijakazi*, 31
9 F.4d 64, 2022.

10 In this case, plaintiff raises two contentions
11 essentially. One, the failure to include manipulative
12 limitations in the RFC, and woven into that is the contention
13 that carpal tunnel syndrome at step two should have been
14 determined to be a severe medically determinable impairment.
15 The second point is the argument that the Administrative Law
16 Judge committed error when evaluating plaintiff's symptoms.

17 Turning first to manipulative limitations, this
18 implicates the RFC assessment which represents a finding of the
19 range of tasks a claimant is capable of performing
20 notwithstanding his or her impairments, 20 C.F.R. Section
21 404.1545(a), *Tankisi v. Commissioner of Social Security*, 521 F.
22 App'x 29, Second Circuit, 2013. This means that an RFC
23 represents a claimant's maximum ability to perform sustained
24 work activities in an ordinary setting on a regular and
25 continuing basis, meaning eight hours a day for five days a

1 week, or an equivalent schedule, *Tankisi*, 521 F. App'x, at 33.
2 An RFC determination is informed by consideration of a
3 claimant's physical and mental abilities, symptomology, and
4 other limitations that could interfere with work activities on a
5 regular and continuing basis, as well as all of the relevant
6 medical and other evidence.

7 As a backdrop, when evaluating plaintiff's arguments,
8 the Court notes that, of course, it is plaintiff's burden
9 through step four to establish not only impairments, but
10 limitations caused by those impairments. Plaintiff's argument
11 is based on the Administrative Law Judge's rejection of opinions
12 of Dr. Figueroa and Dr. Seok. When it comes to evaluating
13 medical opinions, under the new regulations applicable to
14 applications filed after March 27, 2017, the Commissioner no
15 longer defers or gives any specific evidentiary weight,
16 including controlling weight, to any medical opinions, but
17 rather must consider whether those opinions are persuasive by
18 primarily considering whether they are supported by and
19 consistent with the record in the case, 20 C.F.R. Section
20 404.1520(c). And then an ALJ must articulate in his or her
21 determination how persuasive he or she finds all of the medical
22 opinions and explain how the factors of supportability and
23 consistency of those opinions was considered.

24 The focus, of course, is on the three opinions in the
25 record that speak to manipulative limitations. I note that

1 there's no medical source statement from any treating source,
2 including notably plaintiff's treating rheumatologist.

3 Dr. Seok issued a prior administrative medical
4 finding containing opinions on August 18, 2021. That appears at
5 pages 74 to 87 of the Administrative Transcript. Dr. Seok did
6 find at page 84 that the plaintiff experiences manipulative
7 limitations, found no limit in reaching, but limitation in
8 handling on the right side and fingering on the right side and
9 feeling on the right side. The report also reflects at page 85
10 that the plaintiff had difficulty zipping, buttoning, and tying,
11 arthritic changes in both hands and PIP joints. The
12 Administrative Law Judge compared this to a later opinion, which
13 I will address in a moment, at pages 20 to 21 of the
14 Administrative Transcript, and found it to be less persuasive.

15 The second opinion that was referenced by the
16 Administrative Law Judge came from Dr. Perrotti. It's in the
17 form of a prior administrative finding, also. It is dated
18 December 20, 2021. It appears at 88 to 102 of the
19 Administrative Transcript. In his or her report, at page 99,
20 Dr. Perrotti finds that the plaintiff does not suffer from
21 manipulative limitations.

22 The Administrative Law Judge at 20 to 21 finds that
23 Dr. Perrotti's opinion is more persuasive than that of Dr. Seok
24 and cites reasons. One, the rationale of Dr. Seok is not as
25 lengthy or detailed as that provided by Dr. Perrotti. Two, Dr.

1 Seok's opinion is not consistent with all of the evidence in the
2 record. Three, the records from the claimant's rheumatologist
3 were not available to Dr. Seok. And four, the most recent of
4 these newly available records state that the claimant has no
5 joint tenderness or deformities on physical examination.

6 In my view, the rationale provided adequately
7 complies with the medical opinion regulations. At page 100, Dr.
8 Perrotti has a healthy analysis. Perhaps, as we have discussed,
9 it could have been clearer when it comes to the statement that
10 the claimant is able to zipper, button, and tie at the time of
11 the internal medicine CE examination, however, I don't think
12 that that rises to the level of an error or undermines the
13 reliance on Dr. Perrotti's opinion as providing substantial
14 evidence. It is well accepted that prior administrative medical
15 findings can provide substantial evidence to support a
16 determination if supported, *Woytowicz v. Commissioner of Social*
17 *Security*, 2016 WL 6427787, from the Northern District of New
18 York, October 5, 2016, it is a report and recommendation that
19 was adopted at 2016 WL 6426385, Northern District of New York,
20 October 28, 2016.

21 There's also an opinion in the record from
22 consultative examiner Dr. Rita Figueroa, and that appears at
23 pages 464 to 468. It was performed on August 9, 2021, and, of
24 course, both Dr. Seok and Dr. Perrotti had available to them
25 this report. It does state a diagnosis of carpal tunnel

1 syndrome even though there's no indication in the record that I
2 could find that plaintiff's treating rheumatologist has ever
3 diagnosed plaintiff as suffering from carpal tunnel syndrome.

4 Interestingly, when it comes manipulation, which is
5 really what we're looking at, Dr. Figueroa states she will have
6 moderate limitations for activities requiring fine motor skills.
7 I'm not entirely sure that's not consistent with the residual
8 functional capacity finding, but assuming that it is, it is
9 inconsistent with Dr. Perrotti's report and opinions. The
10 Administrative Law Judge reviewed Dr. Figueroa's opinions at
11 page 21 and found the opinion not to be persuasive. The first
12 reason expressed is that it is given in terms of vague language.
13 Second, the moderate, marked, and severe limitations are not
14 well supported by her own physical examination findings and
15 noted several of those findings, including the grip strength,
16 which she found to be four out of five in plaintiff's right hand
17 and full in left hand. The third reason cited is that the
18 description of plaintiff's limitations are inconsistent with
19 other substantial evidence, including activities of daily
20 living, treatment history, and positive response to treatments.
21 Again, I find no error in evaluation of Dr. Figueroa's report.

22 I do agree with the plaintiff's counsel that there
23 are manipulative limitations associated with the position of
24 appointment clerk. Handling in DOT 237.367-10 is reported to be
25 frequent, meaning one-third to two-thirds at a time, and

1 fingering, occasionally up to one-third of the time. I'll
2 assume that that is inconsistent with Dr. Figueroa, but it is
3 not inconsistent with Dr. Perrotti's report, and the
4 Administrative Law Judge found that plaintiff could meet these
5 requirements, and I find that that is supported by substantial
6 evidence.

7 To the extent that plaintiff is making a step two
8 argument, that is that the carpal tunnel syndrome should have
9 been found to be severe, I reject that. And in any event, if it
10 was error, it is harmless. The Administrative Law Judge
11 proceeded to step three of the sequential determination and
12 stated at page eight that all of plaintiff's impairments were
13 considered, both severe and nonsevere, in formulating the RFC.
14 So in conclusion, I find no error in failing to include
15 manipulative limitations in the RFC finding.

16 Turning to the analysis of plaintiff's subjective
17 reports, obviously, an Administrative Law Judge must take into
18 account plaintiff's subjective complaints in rendering the five
19 step disability analysis, 20 C.F.R. Section 404.1529(a). When
20 examining the issue, the Administrative Law Judge applies two
21 steps: First, assessing whether the claimant has medically
22 determinable impairments that could reasonably be expected to
23 produce the alleged symptoms, and then, if so, must evaluate the
24 intensity and persistence of those symptoms and the extent to
25 which they may limit a claimant's ability to perform

1 work-related activities. This analysis is included in several
2 locations, including notably, Social Security Ruling 16-3p.

3 When making the analysis, the Administrative Law
4 Judge may consider certain relevant factors, including a
5 claimant's daily activities; the location, duration, frequency,
6 and intensity of any symptoms; any precipitating and aggravating
7 factors; the type, dosage, effectiveness, and side effects of
8 any medications taken; other treatment received; and other
9 measures taken to relieve the symptoms, 20 C.F.R. Section
10 404.1529(c) (3) (i) through (vi). I note that if the plaintiff's
11 testimony concerning subjective complaints is rejected, an ALJ
12 must explicitly state the basis for doing so with sufficient
13 particularity to allow for meaningful judicial review, *Tome v.*
14 *Schweiker*, 724 F.2d 711, Second Circuit, 1984.

15 I also note, significantly, that an ALJ's assessment
16 of an individual's subjective complaints regarding his or her
17 pain and other symptoms is entitled to substantial deference by
18 a reviewing court, *Aponte v. Secretary of the Department of*
19 *Health and Human Services of the U.S.*, 728 F.2d 588, Second
20 Circuit 1984; *Shari L. v. Kijakazi*, 2022 WL 561563, Northern
21 District of New York, February 24, 2022, from Magistrate Judge
22 *Baxter*; *Edward J. v. Kijakazi*, 2022 WL 4536257, Northern
23 District of New York, September 28, 2022, from Magistrate Judge
24 *Stewart*.

25 In this case, plaintiff's claims were summarized by

1 the Administrative Law Judge at page 19 of his decision. The
2 Administrative Law Judge then applied the two step analysis,
3 finding first that the medically determinable impairments
4 experienced by the plaintiff could reasonably be expected to
5 cause the symptoms alleged, but finding that the evidence did
6 not fully support plaintiff's allegations. The Administrative
7 Law Judge outlined his reasoning for making that finding at
8 pages 19 and 20 and 22, citing not only the medical opinions,
9 including, significantly, Dr. Perrotti's opinion, but also
10 plaintiff's conservative treatments, her wide-ranging activities
11 of daily living, her treatment records, and clinical findings,
12 which did not substantiate the extent to which she claims she is
13 limited, diagnostic test results, and the exam findings of Dr.
14 Figueroa.

15 I find that there's no basis to set aside the
16 Administrative Law Judge's analysis of plaintiff's subjective
17 complaints. In sum, I find no legal error was committed by the
18 Administrative Law Judge and that substantial evidence supports
19 the resulting determination, and I will therefore grant judgment
20 on the pleadings to the defendant and order dismissal of
21 plaintiff's complaint.

22 Thank you, both, for excellent presentations. I hope
23 you have a good afternoon.

24 (Time noted: 2:31 p.m.)
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4 CERTIFICATE OF OFFICIAL REPORTER
5
6

7 I, HANNAH F. CAVANAUGH, RPR, CRR, CSR, NYACR,
8 NYRCR, Official U.S. Court Reporter, in and for the United
9 States District Court for the Northern District of New York, DO
10 HEREBY CERTIFY that pursuant to Section 753, Title 28, United
11 States Code, that the foregoing is a true and correct transcript
12 of the stenographically reported proceedings held in the
13 above-entitled matter and that the transcript page format is in
14 conformance with the regulations of the Judicial Conference of
15 the United States.

16
17 Dated this 10th day of September, 2024.
18

19 s/ Hannah F. Cavanaugh
20 HANNAH F. CAVANAUGH, RPR, CRR, CSR, NYACR, NYRCR
21 Official U.S. Court Reporter
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